SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL OMB Number: 3235-0104

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Imprimis Pharmaceuticals, Inc. | 2. Date of Event Requiring Statement (Month/Day/Year) 11/09/2018 3. Issuer Name and Ticker or Trading Symbol Eton Pharmaceuticals, Inc. [ETON] | | | | | | | |
|--|--|-----------------|--|--|---|--|---|--|
| (Last) (First) (Middle) 12264 EL CAMINO REAL, SUITE 350 | | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner | | | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | |
| (Street) | | | Officer (give title below) | Other (spe below) | cify 6 | pplicable Line) | t/Group Filing (Check y One Reporting Person | |
| SAN DIEGO CA 92130 | | | | | | Form filed b Reporting P | y More than One erson | |
| (City) (State) (Zip) | | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | Amount of Securities neficially Owned (Instr. 4) | | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Common Stock | | | 3,500,000 | D | | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisal Expiration Date (Month/Day/Year | | 3. Title and Amount of Securi Underlying Derivative Securit | | 4. Conversion or Exercise Price of | | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| Explanation of Responses: | Date Ex Exercisable Da | piration ite | Title | Amount or Number of Shares | Derivative Security | | | |

/s/ Andrew R. Boll

11/13/2018 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.