# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

**CURRENT REPORT** Pursuant to Section 13 or 15(d) of the **Securities Exchange Act of 1934** 

Date of Report (Date of earliest event reported): June 9, 2020

# **Eton Pharmaceuticals, Inc.**

(Exact Name of Registrant as Specified in its Charter)

001-38738

(Commission

37-1858472

(IRS Employer

**Delaware** 

(State or Other Jurisdiction

of Incorporation)	File Number)	Identification No.)
21925 W. Field Parkway, Suite 23  Deer Park, Illinois		60010
(Address of Principal Executive Offi	ces)	(Zip Code)
Registrant	s telephone number, including area coo	de: <b>(847) 787-7361</b>
Check the appropriate box below if the Form 8-K f following provisions:	iling is intended to simultaneously sa	tisfy the filing obligation of the registrant under any of th
[ ] Written communications pursuant to Rule 425 und	ler the Securities Act (17 CFR 230.425	)
[ ] Soliciting material pursuant to Rule 14a-12 under	the Exchange Act (17 CFR 240.14a-12	2)
[ ] Pre-commencement communications pursuant to I	Rule 14d-2(b) under the Exchange Act	(17 CFR 240.14d-2(b))
[ ] Pre-commencement communications pursuant to I	Rule 13e-4(c) under the Exchange Act	(17 CFR 240.13e-4(c))
Indicate by check mark whether the registrant is an echapter) or Rule 12b-2 of the Securities Exchange Act		in Rule 405 of the Securities Act of 1933 (§230.405 of thi
Emerging growth company [X]		
If an emerging growth company, indicate by check may or revised financial accounting standards provided pure	9	ase the extended transition period for complying with any new $\operatorname{Act.}[X]$
Securities registered pursuant to Section 12(b) of the A	.ct:	
Title of each class	Trading Symbol	Name of each exchange on which registered
Common Stock, \$0.001 par value per share	ETON	NASDAQ Global Select Market

#### Item 5.07. Submission of Matters to a Vote of Security Holders.

The 2020 Annual Meeting of Stockholders (the "Annual Meeting") of Eton Pharmaceuticals, Inc. (the "Company") was held on June 9, 2020 in Deer Park, Illinois. At the meeting, the Company's stockholders (1) elected the director nominees Mark L. Baum and Charles J. Casamento for a three-year term and (2) ratified the appointment of KMJ Corbin & Company LLP as the Company's independent registered public accounting firm for the year ending December 31, 2020.

The results for each of the matters voted upon by the Company's stockholders at the Annual Meeting were as follows:

### Proposal 1: Election of Directors

Nominee	For	Against	Abstain	Broker Non-Votes
Mark L. Baum	11,227,048	114,060	3,196	5,758,947
Charles J. Casamento	9,695,663	1,645,445	3,196	5,758,947

Proposal 2: Ratification of the Appointment of KMJ Corbin & Company LLP as the Company's Independent Registered Public Accounting Firm for the year ending December 31, 2020

For	Against	Abstain	Broker Non-Votes
16,627,487	99,760	376,004	0
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### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Eton Pharmaceuticals, Inc.** 

Date: June 10, 2020

/s/ W. Wilson Troutman

W. Wilson Troutman Chief Financial Officer and Secretary

3